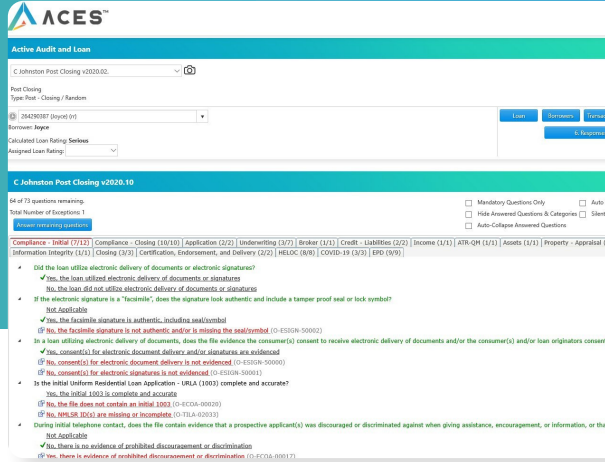


FLEXIBLE QUESTIONNAIRES ALLOW FOR MAXIMUM CONTROL



The screenshot displays the ACES 'Active Audit and Loan' interface. At the top, it shows the loan title 'C Johnston Post Closing v2020.00' and a dropdown menu for 'Post Closing' with options like 'Type Post - Closing / Random'. Below this, there are fields for 'ID: 26429088' and 'Reviewer: Jager'. A 'Calculated Loan Rating Series' and 'Assigned Loan Rating' are also visible. The main content area shows a progress bar for '64 of 73 questions remaining' and a 'Your Number of Exceptions: 1' indicator. A table of compliance categories is shown, including 'Initial (7/12)', 'Compliance - Closing (10/10)', 'Application (2/2)', 'Underwriting (3/7)', 'Broker (1/1)', 'Credit - Liabilities (2/2)', 'Income (1/1)', 'ATR-QP (1/1)', 'Assets (1/1)', and 'Property - Appraisal Information Integrity (1/1)'. The 'Compliance - Closing (10/10)' category is selected, showing a list of questions with checkboxes for 'Yes', 'No', and 'Not Applicable'. The questions relate to electronic delivery of documents and signatures, and the inclusion of tamper proof seals or lock symbols.

ACES builds, manages, and pre-populates standard questionnaires, which you can easily reconfigure to meet your needs. You can also add questions to our Managed Questionnaires or simply create your own.



Keep up-to-date with the latest regulatory, agency, and GSE requirements.



Save time with dynamic questions auto-answer and filter based on your selection.



Access contextual links to regulations and reference materials to ensure compliance.

AUDIT TYPES INCLUDED IN ACES MANAGED QUESTIONNAIRES:

Origination:

- Application
- Broker
- Initial Disclosures
- Compliance
- Interest Rate Locking
- Underwriting
- Credit Liabilities
- Income
- Assets
- Data Validation Services
- ATR-QM
- COVID-19
- HELOC
- MERS
- Property Appraisal
- Information Integrity
- Loan Documents
- Insurance
- At or Prior to Closing
- Certification, Endorsement, and Delivery
- EPD
- HMDA

Servicing:

- COVID-19
- Forbearance
- Loss Mitigation
- Delinquent Loans
- Foreclosure
- Claims
- Bankruptcy
- Successors in Interest
- Fees
- Credit Reporting
- Loan Boarding
- Escrow-Impounds
- MIP-PMI
- Cash Management
- Assumptions
- Adjustable Rate Mortgages
- Investor Reporting
- Correspondence/Complaints
- Periodic Statements
- Payoffs-Reconveyances
- Servicing Transfers
- Reverse Mortgage (HECM)
- HELOC
- HUD235
- HUD 203k
- MERS
- Property Seizures

Consumer:

- Branch Audit
- Consumer Leasing
- Consumer Loans Lending and Servicing
- Deposit Accounts
- New Deposit Accounts
- Open-End Credit Accounts
- CRA Audit
- Marketing and Advertising

"ACES Managed Questionnaires has cut our work load by 50%"

Jean Miller

Quality Control Team Lead, The Servion Group

WHY ORGANIZATIONS CHOOSE ACES



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